

SECTION 1. HORSE DETAILS

NEW ZEALAND THOROUGHBRED RACING INC

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FEE \$120.00 GST Incl GST No. 10-386-896

SR16: 08-17

FORM FOR REGISTRATION OF PARTICULARS OF LEASE OF A RACEHORSE

IT IS VERY IMPORTANT THAT THIS FORM IS COMPLETED ACCURATELY AND ALL INFORMATION REQUESTED IS PROVIDED

NZTR requires the **Lessee** & Owner to apply to register a lease with New Zealand Thoroughbred Racing within <u>7 days</u> of leasing the horse or prior to its acceptance for a race, whichever is the shorter period.

Sire: Dam:						
Age/Year of Foaling:	Sex: Colt □ Filly □ Mare □ Gelding □ Gelding date if known:	Colour: Bay ☐ Black ☐ Brown ☐ Chestnut ☐ Grey ☐ Other:				
Cipher Brand (Near Side)	Numerical Brand (Off Side)	Microchip:				
SECTION 2: DATE OF AGREEMENT						
	day of	20				
SECTION 3: TERM OF THE LEASE						
The Owner(s) shall lease the horse to the Lessee(s) Commencing on	for a period of and terminating on	months/years				
SECTION 4: PARTIES TO THE AGREE	MENT					
	MENT ate entity hereinafter called the OWNER (of the h	orse).				
	71					
	ate entity hereinafter called the OWNER (of the h					
TWEEN the following person(s) or corpora	ate entity hereinafter called the OWNER (of the h	E HORSE SIGNATURE				
TWEEN the following person(s) or corpora	ate entity hereinafter called the OWNER (of the h	E HORSE SIGNATURE				
TWEEN the following person(s) or corpora SURNAME or CORPORATE ENTITY NAME 1.	ate entity hereinafter called the OWNER (of the h	E HORSE				
TWEEN the following person(s) or corpora SURNAME or CORPORATE ENTITY NAME 1. 2. Wallace	THE UNDERSIGNED REGISTERED OWNERS OF THE FULL GIVEN NAMES	SIGNATURE L. D. Wallace Sall				
SURNAME or CORPORATE ENTITY NAME 1. 2. Wallace 4.	THE UNDERSIGNED REGISTERED OWNERS OF THE FULL GIVEN NAMES	SIGNATURE T. D. Wallace SALL				
SURNAME or CORPORATE ENTITY NAME 1. 2. Wallace 4.	THE UNDERSIGNED REGISTERED OWNERS OF THE FULL GIVEN NAMES	SIGNATURE T. D. Wallace SALL				
SURNAME or CORPORATE ENTITY NAME 1. 2. 3. 4. 5.	THE UNDERSIGNED REGISTERED OWNERS OF THE FULL GIVEN NAMES	SIGNATURE L. D. Wallace Sall				
SURNAME or CORPORATE ENTITY NAME 1. 2. 3. Wallace 4. 5. 6.	THE UNDERSIGNED REGISTERED OWNERS OF THE FULL GIVEN NAMES	SIGNATURE L. D. Wallace Sall				
SURNAME or CORPORATE ENTITY NAME 1. 2. 3. 4. 5.	THE UNDERSIGNED REGISTERED OWNERS OF THE FULL GIVEN NAMES	SIGNATURE L. D. Wallace Sall				

Horse Name: Sire: Dam:

AND the following person(s) or corporate entity hereinafter called the LESSEE [person(s) racing the horse]

THE UNDERSIGNED LESSEES OF THE HORSE

RACING MANAGER FOR THE LESSEES / LESSEE 1 Every horse must have a person appointed as Racing Manager. Where the horse is leased by a legal entity (e.g. Company) details of the legal entity must be entered in the lessee section on page 3. (a) **START** Have you appointed someone Will the current Racing Manager Are you the sole lessee of the else to manage your racing assigned to this horse change affairs (Racing Manager) for horse? as a result of this lease? this horse? No No Yes No Get the current racing manager 1. Get the Racing Manager to You complete sections (b)-(e) to complete sections (c) & (e) complete sections (b)-(e) 2. Skip to Section 5: GST 2. Lessees complete rest of the 2. Lessee(s) complete the rest of the form I accept appointment as Racing Manager for this horse and agree to act on behalf of the lessee(s) in all matters, as required under Rules 425-430 of the New Zealand Rules of Racing, including the payment of NZTR Owners Settlement Accounts. I authorise NZTR to use the information collected from me to carry out a credit check and for any other purpose which, in accordance with its privacy policy, it may in its discretion think appropriate. Title: Date of Birth: First Name(s): Surname: Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: The NZTR Owners Settlement Account for this horse will be sent to the Racing Manager either by email or to the postal address above. Please confirm whether you wish to (c) receive the Settlement Account by email or post: By email ☐ By post ☐ Please advise if there is an email address to which you would like copies of the NZTR Owners Settlement Accounts for this horse also to be sent to (e.g. your accountant): Email for copies of NZTR Owners Settlement Accounts: Bank Account Number for direct credit of stakes: The Settlement Account can also be managed online via www.nzracing.co.nz, email TROSA@nzracing.co.nz for access to this service. Payment of all accounts held in your name with NZTR, which relate to fees including (but not limited to) nomination, acceptance and jockey fees is due by the 20th day of the month following the month in which the debt is incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment is received in full. In the event NZTR engages a debt collection agency to collect unpaid amounts from you, you will also be liable to pay to NZTR the reasonable collection costs it incurs to do so. The full NZTR Credit Policy is available from the NZTR website www.nzracing.co.nz. Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with the privacy policy on the last page of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form. (e) Sign Date: Knallau % Leased: Here: % (if applicable)

LESSEE 2 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form. Sign % Leased: Date: % Here: LESSEE 3 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form. Sign % Leased: Date: % Here:

Showcasing

Dam:

Unnamed 2018 Chestnut Filly sire:

Horse Name:

Horse Name: Sire: Dam: LESSEE 4 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form. Sign % Leased: Date: % Here: LESSEE 5 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.

I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.

Sign Here: % Leased: % Date:

Horse Name: Sire: Dam: LESSEE 6 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form. Sign % Leased: Date: % Here: LESSEE 7 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or

Horse Name: Sire: Dam: LESSEE 8 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form. Sign % Leased: Date: % Here: LESSEE 9 Complete this section to confirm that you have a racing interest in the horse. Please print clearly. Title: First Name(s): Surname: Date of Birth Postal address: **Email Address:** Telephone - Home: Telephone - Mobile: Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.

I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing

% Leased:

and information on events, products and services from NZTR or third parties to the email address I provide in this form.

Sign

Here:

Date:

%

Horse Name: Sire: Dam:

LESSEE 10							
Complete this section to confirm that you have a racing interest in the horse. Please print clearly.							
Title:	First Name(s):	Surname:			Date of Birth		
Postal address:							
Email Address:							
Telephone	e – Home:	Те	lephone – Mol	pile:			
Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing							
and inform	ation on events, products and services from NZTR or third parties	to the email add	dress I provide i	n this form.	consenting to receiving unect marketing		
Sign Here:			% Leas	ed: %	Date:		
SECTIO	ON 5: GST STATUS Is this horse included within your GST activities for racing?			If YES → go to 5.2 below If NO → go to Section 6			
5.2	Are you or your ownership group a single entity for GST purpose	es?	7	If YES → go to (a) below If NO → go to (b) below			
	(a) If you answered YES to 5.2 please provide your Gogroups GST number	ST number/or yo	our ownership	GST Number:	plicable):		
	(b) If you answered NO to question 5.2 above, you will be required to provide the GST number for each individual lessee GST registered for racing in the boxes below.						
	Name of GST Registered Lessee		GST Number For Racing Purposes				
	1.						
	2.						
	3.						
	4.						
	5.						
	6.						
	7.						
	8.						
	9.						
	10.						

Horse Name: Sire: Dam: SECTION 6: OWNER NAME FOR PUBLICATIONS (no more than 10 names as per RULE 424 (1) and (2)) Please state the name(s) which is/are to appear as owner(s) in publications and other media forms. This display may vary between publications. For the avoidance of doubt, an ownership name may include the term "syndicate" "partnership", or "trust", etc if the registered lessees wish to use that term to describe their relationship or the nature of their lease interest in the horse. E.g. the Race book name for the lessees could be ABC Syndicate, ABC Partnership, or it could be A, B & C Smith. 1. 2. 3. 4 5. 6. 7. 8. 9. 10. Colours horse will race in **SECTION 7: LEASE AGREEMENT** NZTR cannot determine nor control facets of a private lease agreement such as right of purchase, right of renewal, special conditions, etc. The lease form is notification of an agreement and our key points are the start date and finish date of the lease and the details of the Racing Manager, and all lessees involved. It is therefore recommended that the parties to the lease have their own agreement in place to cover all aspects associated with the lease and welfare of the horse. NZTR has developed a pro forma lease agreement template, available on our website at http://www.nzracing.co.nz/NZTR/Resources/Forms.aspx. The template provides a checklist of key considerations for parties entering into an arrangement and serves as a useful guide for owners and lessees. Please tick the box if you have attached a copy of the agreement over this horse. WARNING legal advice in respect of the Personal Property Securities Act 1999 is recommended **SECTION 8: PAYMENT DETAILS** I would like to pay by Bank Deposit: Date Deposited: Reference Used: New Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference) Please charge my: Mastercard Visa □ Amex Diners Club Card No: Cardholder's Name: Signature: My Cheque is enclosed for \$ (Payable to New Zealand Thoroughbred Racing) When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records. **SECTION 9: PRIVACY ACT 1993** This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services. NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address. If you do not wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.